## National Initiatives for Open Science in Europe



#### NI4OS-Europe webinars, March 2022

RoLECT: a self-assessment tool against EOSC RoP Legal & Ethics Compliance

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# Few words about





Vision conceived by the European Commission in 2015, officially launched in 2018.

Initiative to create an infrastructure providing its users with services promoting <u>open science</u> practices.

Researchers, innovators, companies and citizens can publish, find and reuse data, tools and services for research, innovation and educational purposes.

What is RoLECT?

- Self-assessment tool against EOSC RoP focusing on Legal & Ethics aspects.
- Designed to promote compliance with the key legal and ethical aspects of EOSC RoP
- Understand the main priorities of EOSC RoP.
- Version released: 1.1, July 2021.



EOSC RoP Legal & Ethics Compliance

Login via NI4OS AAI

Explore the tool as a guest user





Any resource providers familiar with legal & ethics conditions related to EOSC RoP.

Target users

**EXAMPLES** 

service providers

researchers

research organisations

## Intended use

resource

- Before starting the onboarding process, prospective resource providers can **verify** if the resources meet the legal & ethics standards of EOSC RoP.
- Quick look at the main priorities of RoP > proceed to corrective actions for onboarding or proactively integrate features ensuring compliance into the





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# Development approach

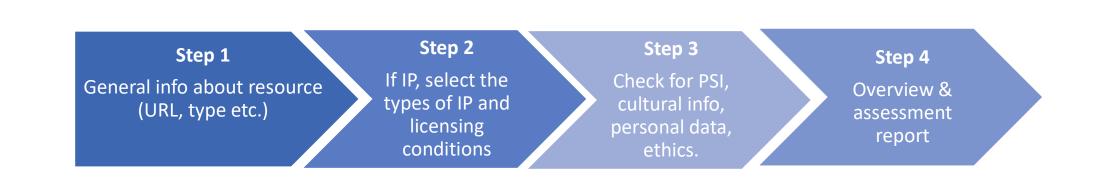


Guidelines of EOSC Executive Board RoP Working Group

Deconstruct EOSC RoP into general categories (transparency, legal aspects, ethics) Reconstruct units of rules & classification according to priorities of EOSC RoP Structured flow of questions categorized into three levels of importance



# How it works?



→ Explore the tool as guest user or authenticated user <a href="https://rolect.ni4os.eu/rolect/auth/login">https://rolect.ni4os.eu/rolect/auth/login</a>

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# Legal and ethics aspects

- Intellectual Property Rights: verification that no intellectual property rights of third parties are infringed.
- Licensing conditions related to IPR (i.e. open licenses, standard licenses, machine readable licenses).
- License compatibility assessment when two or more different open licenses apply (Check lct.ni4os.eu).
- **Public domain**: the provider has to indicate if the resource is in the public domain and thus it can be used.
- Other restrictions: resource falling into the scope of cultural heritage law.
- **Personal Data**: minimum level of compliance with GDPR → lawfulness of processing (Art 6§4 GDPR), information obligation (Art. 13, 14 GDPR), and data subjects' rights (Art. 15-22 GDPR).

# Legal and ethics aspects



 Conditions that may allow (Public Sector Information) or restrict the use of the resource (i.e. trade secrets, confidential information).







#### Scenario

Assume that you have a research product (data set) that you wish to onboard within the EOSC Portfolio, but you are not sure if your data set meets the basic EOSC RoP requirements.

### Challenges faced

- EOSC RoP do not provide for specific compliance criteria ("high-level" information);
- Lack of sufficient knowledge and understanding of Legal and Ethics aspects of RoP.
- No similar tools available.





### What are the risks?

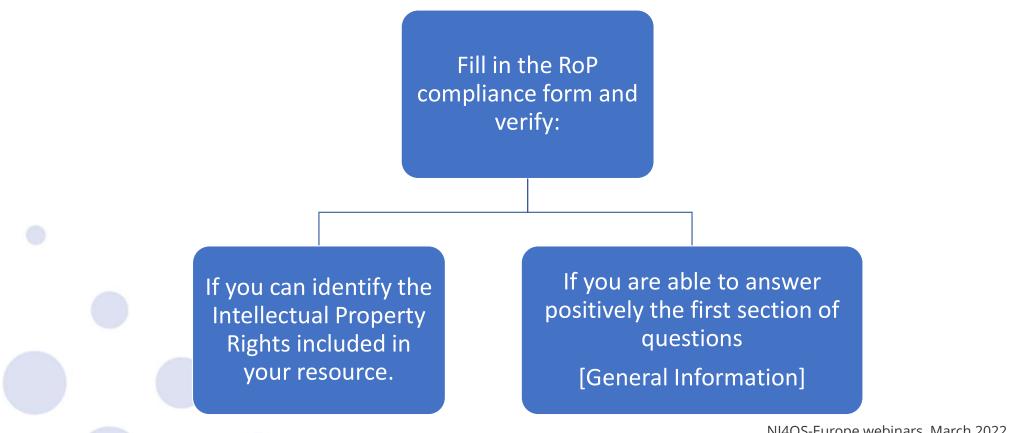
- The resource may be licensed under a non- compatible with EOSC RoP license;
- Existence of data that cannot be further used in the EOSC ecosystem.

### What should you do?

Visit RoLECT https://rolect.ni4os.eu/rolect/auth/login

# Hands—on Session





## Hands—on Session



YES I can:

Congratulations!

You can continue checking the rest of inclusion criteria. NO I can't:

Review your data set and proceed to corrective actions for complying with EOSC RoP.





URL: <u>https://rolect.ni4os.eu/rolect/auth/login</u>

Documentation:

https://wiki.ni4os.eu/index.php/EOSC RoP Legal %26 Ethics Compliance - Description and Documentation

Training Material:

https://rolect.ni4os.eu/files/rolect-manual.pdf

Feedback on the NI4OS-Europe tools



URL: <u>https://docs.google.com/forms/d/e/1FAIpQLSedvcWDtFhPcqGeTy</u> <u>g31TCgcY3TGLYaM7dnlv7pJmIWDWZ9SQ/viewform</u>



# Thank you!

# **On behalf of the ATHENA Team**

Panagiota Koltsida, Marianna Katrakazi, Thodoris Stefou, Panoraia Spiliopoulou, Eleni Toli Join NI4OS-Europe Community:

https://ni4os.eu/contact-us



